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**FACSIMILE COVER PAGE**This transmission consists of 10 page(s) including cover page.

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**RECEIVED**  
15 JUN 2006  
Legal Staff  
International DivisionDate: Thursday, June 15, 2006To: Office of PCT Legal AdministrationFirm/Company: Commissioner for PatentsFax Number: (571) 273-0459Confirm Number: (571) 273-3299From: Robert J. SchneiderMatter Number: 1716364Serial No.: US Patent Appln. No. 10/523,903Comments: Request for Correction of Filing Receipt

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Signature: Richard KalwaPerson Signing Certificate Richard Kalwa Date: June 15, 2006

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PAT. APPLN. SER. NO. 10/525,903  
ATTY. DOCKET NO. 1716364

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:	)	Examiner:	Unknown
	)		
Junichiro Ichikawa et al.	)	Group Art Unit:	2874
	)		
International Appln. No. PCT/JP2003/011012	)	Confirmation No.:	9664
	)		
U.S. Serial No.: 10/525,903	)		
	)		
Filing Date: February 25, 2005	)		
	)		
For: OPTICAL MODULATOR	)		
	)		

**FILED VIA FACSIMILE - (571) 273-0459**

Office of PCT Legal Administration  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Applicant, by its undersigned attorneys, requests for a second time that a properly corrected Filing Receipt for the above patent application be promptly issued to Applicant. The Filing Receipt has two errors:

(1) The city of residence for each of the four inventors is listed on the filing receipt as "Chiyoda-ku." This is incorrect and must be changed to the correct city, namely, "Tokyo." The correct information regarding the city of residence of the inventors was indicated on the original Application Data Sheet filed with this application.

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1716364

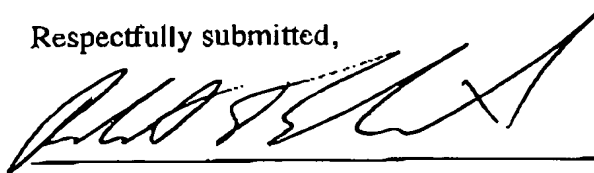
(2) The patent application number and filing date of the foreign priority application is listed on the filing receipt as "JAPAN 2002-230368 08/07/2002." This is incorrect and must be changed to the correct patent application number and filing date, namely, "JAPAN 2002-254938 08/30/2002." The correct information regarding the patent application number and filing date of the foreign priority application was indicated on the original Application Data Sheet filed with this application.

A first Request for Correction of Filing Receipt was filed on February 3, 2006. Copies of the Filing Receipt with the requested change noted thereon and of the original Application Data Sheet are attached to this second Request.

*Extension Request and Fee Authorization.* There is no fee associated with the filing of this paper, but the Commissioner is hereby authorized to charge any required fees or to credit any overpayment associated with this communication to the Deposit Account No. 50-0305 of Chapman and Cutler LLP, including fees for any necessary extension of time under 37 CFR §1.136 for filing this communication, such extension being hereby requested.

Please mail the corrected filing receipt to the undersigned attorney of record. The Office is encouraged to call Robert J. Schneider at the direct number (312) 845-3919 with any questions that arise in connection with this application, or to resolve any remaining issues.

Respectfully submitted,



Robert J. Schneider  
Reg. No. 27,383

June 15, 2006  
Chapman and Cutler LLP  
111 West Monroe Street  
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JAN 31 2006



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/525,903	09/06/2005	2874	1130	1716364	5	20	3

CONFIRMATION NO. 9664

Robert J Schneider  
Chapman and Cutler  
111 West Monroe Street  
16th Floor  
Chicago, IL 60603-4080

## FILING RECEIPT

\*OC000000017912999\*

Date Mailed: 01/27/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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## Power of Attorney:

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## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/11012 08/29/2003

## Foreign Applications

JAPAN 2002-230366-08/07/2002

254938 08/30/2002

Projected Publication Date: 05/04/2006

Non-Publication Request: No

Early Publication Request: No

**Title**

Optical modulator

**Preliminary Class**

385

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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